SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Washington, D.C. 20549

OMB APPROVAL

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Instruction 1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	pursuant to Section 16(a) of the Securities Exchange Act of 1934							
		or Section 30(h) of the Investment Company Act of 1940								
1. Name and Address of <u>Clark Maxine</u>	Reporting Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>BUILD-A-BEAR WORKSHOP INC</u> [ BBW ]		tionship of Reporting all applicable) Director Officer (give title	Person(s) to Issuer 10% Owner Other (specify					
(Last) (First) (Middle) C/O BUILD-A-BEAR WORKSHOP, INC. 415 SOUTH 18TH STREET		3. Date of Earliest Transaction (Month/Day/Year) 12/01/2021		below)	below)					
(Street) ST. LOUIS Mu (City) (St	O 63103 ate) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed by One F	Filing (Check Applicable Reporting Person than One Reporting					

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any 🧴	3. Transa Code ( 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	12/01/2021		<b>S</b> <sup>(1)</sup>		9,768	D	\$22.003 <sup>(2)</sup>	18,613 <sup>(3)</sup>	D	
Common Stock								93,631	Ι	Smart Stuff, Inc.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.00 to \$22.03 inclusive. The Reporting Person undertakes to provide to Build-A-Bear Workshop, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

3. After giving effect to the transactions reported in this Form 4, Ms. Clark directly beneficially owns 13,989 shares of common stock and 4,624 shares of restricted stock, with 93,631 shares indirectly through Smart Stuff, Inc. of Build-A-Bear Workshop, Inc. Ms. Clark is the president and sole stockholder of Smart Stuff, Inc.

/s/ Eric R. Fencl, as Attorneyin-Fact for Maxine Clark 12/03/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See