SEC Form 4
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## FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden 0 5

to Section 16	. Form 4 or Form 5 ay continue. See b).		d pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	34		Estimated av hours per res	erage burden sponse:	0.5
John Sharo (Last) C/O BUILD-A	(First) A-BEAR WOR	(Middle)	2. Issuer Name and Ticker or Trading Symbol BUILD A BEAR WORKSHOP INC [ BBW ] 3. Date of Earliest Transaction (Month/Day/Year) 04/14/2020		k all applicabl Director Officer (giv below)	e)	son(s) to Issuer 10% Owner Other (spec below) CEO	
(Street) ST. LOUIS (City)	MO (State)	63114 (Zip)	<ul> <li>4. If Amendment, Date of Original Filed (Month/Day/Year)</li> </ul>	6. Indi Line) X	Form filed	by One Repo	g (Check Applic orting Person n One Reporting	

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any '						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	04/14/2020		A		70,000 <sup>(1)</sup>	Α	(2)	409,506	D	
Common Stock	04/14/2020		A		28,475 <sup>(3)</sup>	Α	(2)	437,981 <sup>(4)</sup>	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Grant to reporting person of 70,000 shares of restricted stock. The shares vest on April 14, 2021.

2. Price is not applicable to grants of restricted stock.

3. Grant to reporting person of restricted stock upon payment of earned performance share award under a shareholder-approved equity incentive plan. The payout was based on the level of achievement of financial targets for fiscal 2017, 2018 and 2019. The shares will vest, subject to the reporting person's continued emplyment with the issuer, in April 30, 2020.

4. After giving effect to the transactions reported in this Form 4, Ms. John directly owns 202,029 shares of common stock and 235,952 shares of restricted stock of Build-A-Bear Workshop, Inc. In addition, Ms. John holds vested options to purchase 421,955 shares of Build-A-Bear Workshop, Inc.'s common stock.

Remarks:

/s/ Eric R. Fencl, attorney-in-

fact for Sharon Price John

04/16/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.