SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

IL

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Instruction 1(b)).	Eile	d pursuant to Section 16(a) of the Securities Exchange Act of 193	4				
	,		or Section 30(h) of the Investment Company Act of 1940	•	<u> </u>			
1. Name and Add <u>Hurt J. Chri</u> (Last) C/O BUILD-A	(First)	g Person* (Middle) RKSHOP, INC.	2. Issuer Name and Ticker or Trading Symbol BUILD A BEAR WORKSHOP INC BBW 3. Date of Earliest Transaction (Month/Day/Year) 04/14/2020		tionship of Reporting Perso (all applicable) Director Officer (give title below) Chief Operations (10% Owner Other (specify below)	
1954 INNERE	BELT BUSINE	ESS CENTER DRIVE						
(Ctro at)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/G	roup Filing (Ch	eck Applicable	
(Street) ST. LOUIS	MO	63114		X	Form filed by	One Reporting	Person	
	IVIO	03114			Form filed by Person	More than One	e Reporting	
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/14/2020		Α		40,980(1)	Α	(2)	100,795	D	
Common Stock	04/14/2020		A		6,834 ⁽³⁾	Α	(2)	107,629(4)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	Expiration Date (Month/Day/Year) urities or posed D) str. 3, 4			Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Grant to reporting person of 40,980 shares of restricted stock. The shares vest on April 14, 2021.

2. Price is not applicable to grants of restricted stock.

3. Grant to reporting person of restricted stock upon payout of earned performance share award under a shareholder-approved equity incentive plan. The payout was based on the level of achievement of financial targets for fiscal 2017, 2018 and 2019. The shares will vest, subject to the reporting person's continued employment with the issuer, on April 30, 2020.

4. After giving effect to the transactions reported in this Form 4, Mr. Hurt directly owns 21,448 shares of common stock and 86,181 shares of restricted stock of Build-A-Bear Workshop, Inc. In addition, Mr. Hurt holds vested options to purchase 60,219 shares of Build-A-Bear Workshop, Inc.'s common stock.

Remarks:

<u>/s/ Eric R. Fencl, attorney-in-</u> <u>fact for J. Christopher Hurt</u>

<u>Hurt</u> <u>04/16/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.