SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	pursuant to Section 16(a) of the Securities Exchange Act of 1934						
	or Section 30(h) of the Investment Company Act of 1940							
1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol BUILD-A-BEAR WORKSHOP INC	5. Relationship of (Check all applica	Reporting Person(s) to Issuer ble)					
Dixon Robert L JR	BBW]	X Director	10% Owr	ner				
(Last) (First) (Middle)		Officer (g	(give title Other (sp below)	becify				
C/O BUILD-A-BEAR WORKSHOP, INC.	3. Date of Earliest Transaction (Month/Day/Year) 06/15/2021		,					
415 SOUTH 18TH STREET								
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Jo Line)	int/Group Filing (Check Applicable					
(Street)		X Form file	ed by One Reporting Persor	n				
ST. LOUIS MO 63103		Form file Person	Form filed by More than One Repo Person					
(City) (State) (Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/15/2021		S		1,089	D	\$17.6	58,831	D	
Common Stock	06/16/2021		S		12,111	D	\$16.52 ⁽¹⁾	46,720 ⁽²⁾	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	Derivative (Month/Day/Year) securities ocquired A) or Disposed of (D) Instr. 3, 4		Deriv	unt of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reporting price is the weighted average sale price per share for 3 transactions in which the sale prices range from \$16.52 to \$16.53 per share. The Reporting Person undertakes to provide full information regarding the number of shares sold at each separate sale price upon request of the Securities and Exchange Commission staff, the issuer or any security holder of the issuer.

2. After giving effect to the transaction reported in this Form 4, Mr. Dixon directly beneficially owns 42,096 shares of common stock and 4,624 shares of restricted stock of Build-A-Bear Workshop, Inc. **Remarks:**

/s/ Eric R. Fencl, as Attorneyin Fast for Packart L. Divers In 06/17/2021

in-Fact for Robert L. Dixon Jr.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.